# Finite automata

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- Closure properties of regular languages
- Pumping lemma

#### **Closure properties of regular languages**

# <u>Theorem 1</u>

The class of regular languages is closed under union, intersection, subtraction, complementation, concatenation, Kleene closure and reversal.

#### Proof.

The idea is to build a DFA for the union of two languages by combining the two DFA's into one such that, at each step, the new DFA would keep track of the computation paths of both DFA's.

# Let $M_1 = (Q_1, \Sigma, \delta_1, q_0, F_1)$ be DFA to accept $L(M_1)$ , let $M_2 = (Q_2, \Sigma, \delta_2, q_0, F_2)$ be DFA to accept $L(M_2)$ .

**G**onsider a product automaton  $M = M_1 \times M_2$ .

The state set  $Q = Q_1 \times Q_2$  is the cross product of the state sets of  $M_1$  and  $M_2$ .

The initial state of M is  $(q_0, q_0)$ .

At each state  $(q_i, q_j)$  in Q, we simulate both computations of  $M_1$  and  $M_2$  in parallel by

$$\delta\left(\left(q_{i},q_{j}\right),a\right)=\left(\delta_{1}(q_{i},a),\delta_{2}(q_{j},a)\right).$$

The set of final states of *M*:

$$F = (F_1 \times Q_2) \cup (Q_1 \times F_2).$$

From the above description, it is clear that M accepts the union of two languages  $L(M_1) \cup L(M_2)$ .

The above method can also be applied to the problems of finding the intersections or the differences of two languages which are accepted by DFA's.

For the intersection of two regular languages we need to take  $F_1 \times F_2$  as F and for the difference of two languages we need to take  $F_1 \times (Q_2 - F_2)$  as F.

Let  $M = (Q, \Sigma, \delta, q_0, F)$  be DFA to accept the language L(M).

Then DFA  $(Q, \Sigma, \delta, q_0, Q - F)$  accepts the complementation of the language L(M).

Let's show how for given NFA's  $M_1$  and  $M_2$  to construct the NFA accepting the concatenation  $L(M_1) \cdot L(M_2)$  of two languages and Kleene closure  $L(M_1)^*$  of the language  $L(M_1)$ .

Let's begin with concatenation of two regular languages.

Let  $M_1 = (Q_1, \Sigma, \delta_1, q_0^1, F_1)$  be an NFA to accept  $L(M_1)$ , let  $M_2 = (Q_2, \Sigma, \delta_2, q_0^2, F_2)$  be an NFA to accept  $L(M_2)$ . We construct an NFA M such that  $L(M) = L(M_1) \cdot L(M_2)$ .

We make a copy of each of  $M_1$  and  $M_2$ .

Then, we let the initial state  $q_0^1$  of  $M_1$  be the initial state of M and let the set F of the final states of M be equal to  $F_2$ .

We also add an  $\varepsilon$ -move from each state q in  $F_1$  to the initial state  $q_0^2$  of  $M_2$ .

We construct an NFA M such that

 $L(M) = L(M_1) \cdot L(M_2).$ 

Then, we let the initial state  $q_0^1$  of  $M_1$  be the initial state of M and let the set F of the final states of M be equal to  $F_2$ .

We also add an  $\varepsilon$ -move from each state q in  $F_1$  to the initial state  $q_0^2$  of  $M_2$ .



Let  $M_1 = (Q_1, \Sigma, \delta_1, q_0, F_1)$  be NFA to accept the language  $L(M_1)$ .

Let's construct an NFA M such that  $L(M) = L(M_1)^*$ .

We construct M by adding a new initial state s and a unique final state f.

Then, we add an  $\varepsilon$ -move from s to the initial state  $q_0$  of  $M_1$  and an  $\varepsilon$ -move from each  $q_i \in F_1$  to the new final state f.

We also add, from each state  $q_i \in F_1$ , an  $\varepsilon$ -move to the initial state  $q_0$  of  $M_1$ .

Finally, we add an  $\varepsilon$ -move from the initial state s to the new final state f (so that the empty string  $\varepsilon$  is accepted).

#### Kleene closure of an NFA.



#### **Closure properties of regular languages**

In the following examples a language is given and we show how to construct a DFA or a NFA accepting the language.

#### **Closure properties of regular languages**

# Example 1

The set of all binary strings having a substring 00 or ending with 01.

This language is the union of two languages  $(0 + 1)^* 00(0 + 1)^*$  and  $(0 + 1)^* 01$ .

Solution of example 1

**D**FA to accept  $(0 + 1)^* 00(0 + 1)^*$ .



#### Solution of example 1

# **D**FA to accept $(0 + 1)^* 01$ .



**\square** FA to the set of all binary strings having a substring 00 or ending with 01.



#### **Closure properties of regular languages**

# Example 2

The set of all binary strings having a substring 00 and ending with 01.

This language is the intersection of two languages  $(0 + 1)^* 00(0 + 1)^*$  and  $(0 + 1)^* 01$ .

The transition diagram of the resulting DFA is just like that of example 1, except that the final set consists of only one state  $(q_2, q_2)$ .

# Another solution of example 2.



#### **Closure properties of regular languages**

# Example 3

The set of all binary strings having a substring 00 but not ending with 01.

This language is the difference of language  $(0 + 1)^* 00(0 + 1)^*$  minus language  $(0 + 1)^* 01$ .

The transition diagram of the resulting DFA is just like that of example 1, except that the final set consists of two states  $(q_2, q_0)$  and  $(q_2, q_1)$ .

# **Closure properties of regular languages**

# Example 4

The set *L* of all binary strings in which every block of four consecutive symbols contains a substring 01. <u>Solution.</u>

The condition "every block of four consecutive symbols contains a substring 01" is a global condition, which appears difficult to verify.

By considering the complement  $\overline{L}$ , we turn this condition into a simpler local condition:  $\overline{L}$  contains binary strings with a substring 0000, 1000, 1100, 1110 or 1111.

# Solution of example 4

We first construct a DFA accepting  $\overline{L}$  and then change all final states into nonfinal states and all nonfinal states into final states.

A solution is shown in the following figure.

# Solution of example 4



# **Pumping lemmas**

Not all languages are regular.

We introduce necessary condition for regularity of languages which can be used to prove that a language is non regular.

In the following, we write, for any string  $v^*$  to denote the set  $\{v^*\}$ .

# **Pumping lemmas**

**<u>Pumping lemma</u>**. If a language *L* is accepted by a DFA *M* with *s* states, then every string *x* in *L* with  $|x| \ge s$ , can be written as: x = uvw such that  $v \ne \varepsilon$  and  $uv^*w \subseteq L$ .

<u>Proof.</u> Consider the transition diagram of *M*.

Since  $x \in L$ , the computation path  $\pi$  of x starts from the initial state  $q_0$  and ends at a final state  $q_f$ .

The concatenation of the labels over the path  $\pi$  is exactly the string x.

The path  $\pi$  has exactly |x| edges because each edge is labeled by a symbol.

Thus, the path  $\pi$  contains a vertex sequence of |x| + 1 elements.

Since  $|x| \ge s$ , some state  $q_i$  occurs more than once in the sequence.

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Break the path  $\pi$  into three subpaths at the first and second occurrences of  $q_i$ .



Final is, the first subpath is from state  $q_0$  to the first occurrence of  $q_i$ .



The second subpath is a cycle from the first  $q_i$  to the second  $q_i$ .

![](_page_32_Figure_2.jpeg)

The third subpath is from the second  $q_i$  to  $q_f$ .

![](_page_33_Figure_2.jpeg)

Let u, v and w be the concatenations of the labels of the three subpaths, respectively. Then, x = uvw and  $v \neq \varepsilon$ .

![](_page_34_Figure_2.jpeg)

Since v is associated with a cycle, we also have  $uv^*w \subseteq L$ . (E.g.,  $uv^2w \in L$  because  $\delta(q_0, uv^2w) = \delta(q_i, vvw) = \delta(q_i, vvw) = \delta(q_i, w) = q_f$ .)

![](_page_35_Figure_2.jpeg)

# **Pumping lemmas**

Now, for a given language L, if we can prove that the necessary condition of the pumping lemma does not hold with respect to any s > 0, then L is not regular.

#### **Pumping lemmas**

#### Example 1

# $L = \{0^p | p - is a prime\}$ is not a regular language.