

**HISTORY OF ENGLISH I**  
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**Part I**

Introduction and historical  
background

# Why study history of a language?

- To see **how** and **why** languages in general change
- To be able to account for “irregularities” in language in its current state (Present-Day English, spelling in particular!, also forms like “children”, why is **r** pronounced as /a:/?)
- To notice how a language keeps changing

# Causes for language change

- Language-internal
- Language-external

# Language-internal causes

- There is always variation in the speech of members of a group (idiolects!)
- **Variation more marked in oral/illiterate cultures**
- Variation more marked between generations
- Changes take root more easily in
  - a) oral/illiterate cultures and
  - b) cultures with a shorter life-span for individual members

# Language-external causes

Influence of other languages, i.e.  
**language contact** – mainly  
borrowing.

# The extent of borrowing

depends on socio-economic factors, e.g.:

- **number** of speakers of the foreign language, their geographical distribution
- their **socio-economic position** (social and economic power of the language)
- the **prestige** of the foreign language

# Periods of the history of English

- **Old English – Anglo-Saxon** 449 to 1066/1100/1154
- **Middle English** – up to 1485/1500/1533

## **Modern English**

- **Early Modern English** up to 1700
- **Modern English** up to the 20th century
- **Present-Day English**

### **Old English:**

Fyrst forth gewat. Flota wæs on ythum,  
Bat under beorge. Beornas gearwe ...

### **Middle English:**

Whan that Aprille with his shoures soote  
The drought of March hath pierced to the roote...

### **Modern English:**

Time passed. The ship was on the waves ...  
When April with its sweet showers  
Has pierced the dryness (drought) of March to the  
root (i.e. utterly destroyed it)



# Lord's prayer (excerpts)

## **Old English:**

Fæder ure, thu the eart on heofonum, si thin  
nama gehalgod ...Urne gedæghwamlican  
hlaf syle us to dæg.

## **Middle English:**

Fader oure that is i heuenm blessid be thi name .. Oure ilk dau bred gif  
us to day.

## **Early Modern English:**

Our father who art in heaven, hallowed be thy Name ...  
Give us this day our daily bread.

Source: John H. McWhorter "The Power of Babel. A Natural History of  
Language", Perennial, 2003

# Periods in the History of English I: Old English (Anglo-Saxon)

- 449 – first Anglo-Saxons leave the continent, land in Britain

**Cut off from other Germanic tribes,  
language starts to develop independently**

# Relatively few loanwords in Old English:

- 1) Celtic (Introduction to Old English by Oleg Mutt, p. 36) (12 words – “down”, “brock” – a synonym of “badger”, etc., plus place names). “Welsh” comes from “alien” (Gmc. *\*walxaz* **foreign, alien** (Celtic or Roman)):  
Celtic **not prestigious**
- 2) Latin (p. 34) – two layers:
  - words borrowed on the Continent (caupo > cheap, strata > street)
  - words borrowed after the adoption of Christianity (Northern England – 4th century – Irish, **end of 7th century – St Augustine came to Kent from Rome**)

# Celtic loans

- **“Cross” etymology:**

Middle English cros, from Old English, probably from Old Norse kross, from Old Irish cros, from Latin crux (Genitive crucis)

- Word lists - Celtic loan-words - *From Old English to Standard English* 3rd edition 1
- **CELTIC**
- **Old English**
- ***Celtic date MnE***
- Old Northumbrian asal fr Celtic **1000 ass**
- Gaelic bannach **1000 bannock**
- Irish brat - cloth **950 brat**

- Gaelic broc - badger **1000 brock**
- cp Irish & Gaelic donn - brown **953 dun (a)**
- Gaelic torr - a peak **847t or**
- **14th century**
- ***Celtic date MnE***
- cp Irish and Gaelic creag **1300 crag**
- Irish ceithern - foot-soldier **1351 kern**
- Gaelic loch **1375 loch**

- **15th century**
- ***Celtic date MnE***
- Gaelic and Irish bard **1450 bard**
- Gaelic clann - family, stock, race **1425 clan**
- Gaelic gleann - mountain-valley **1489 glen**
- Welsh gwylan, Cornish guilan = Breton goelann **1430 gull**

- **16th century**
- ***Celtic date MnE***
- Irish or Gaelic bogach a bog **1505 bog**
- Irish and Gaelic brog - shoe **1586 brogue**
- Gaelic caber **1513 caber**
- Gaelic carn **1535 cairn**
- Welsh corwgl **1547 coracle**
- Irish and Gaelic gall-oghlch, from gall - foreigner, stranger + ogchl - youth; a class of retainers formerly by Irish chiefs.
- **1515 galloglass**
- Gaelic gille a lad, servant - an attendant on a Highland chief. **1596 gillie**
- Welsh meddyglyn healing + llyn liquor; mead **1533 metheglin**
- Irish pillín, Gaelic pillin - a saddle **1503 pillion**
- Gaelic plaide **1512 plaid**
- Irish seamrog **1571 shamrock**
- Gaelic sluagh-ghairm (from sluagh host + gairm cry, shout); original meaning war-cry.



- **1513 slogan**
- Irish trius, Gaelic triubhas - trousers, breeches. **1568 trews**
- 2 Word lists - Celtic loan-words - *From Old English to Standard English* 3rd edition
- **17th century**

- ***Celtic***
- Irish and Gaelic dun - hill, hill-fort **1605 dun (n)**
- Irish go leor, Gaelic gu leor - plenty **1675 galore**
- Irish lupracán **1604 leprechaun**
- Irish ogham - an alphabet of 20 letters used by the ancient British and Irish. **1677 ogham**
- 1 in the 17th century, Irish outlaws, who plundered and killed the English
- settlers and soldiers; often applied to any Irish Papist or Royalist in arms.
- 2 from 1689, the name of one of the two major political parties in England,
- and (later) in Great Britain
- **Tory**

- **18th century**
- ***Celtic date MnE***
- Irish bean sidhe - a spirit supposed by the Irish & Scottish Highland peasantry to
- wail under the windows of a house where one of the inmates is about to die.
- **1771 banshee**
- Gaelic beann **1788 ben**
- Irish Blarney (cf the Blarney stone) - flattering talk, nonsense **1796 blarney**
- Gaelic claidheamh mor - 'great sword' **1772 claymore**
- Gaelic Sasunnach - an Englishman **1771 Sassenach**
- Shillelagh - (the name of a village in Co. Wicklow); an Irish cudgel **1773 shillelagh**
- Irish spailpín - 1 a labourer, 2 a rascal **1780 spalpeen**

- **19th century**
- ***Celtic date MnE***
- Irish céilidhe, Scots Gaelic ceilidh **1875 ceilidh**
- Irish cailín - girl **1828 colleen**
- Welsh cwm - valley **1853 cwm**
- Irish caoine - weeping lamenting **1830 keen**
- Gaelic mo chroidhe - (of) my heart, my dear **1829 machree**
- Irish mo my + cuisle vein, pulse (of the heart). **1887 macushla**
- Irish mo mhurnín - my darling. **1800 mavourneen**
- Breton men hir 'long stone' **1840 menhir**
- Irish poitín 'little potí, short for uisge poitín 'little-pot whisky' **1812 poteen**
- Gaelic sporran **1818 sporran**
- **20th century**
- ***Celtic date MnE***
- Welsh cor- dwarf + gi, from ci - dog. **1926 corgi**

- The Old English word *rice*--a noun meaning "kingdom" (cf. Ger. *Reich*), is almost certainly Celtic in origin, but this word was probably adapted by Germanic tribes on the continent long before the Anglo-Saxons settled in Britain. A few other Old English words such as *ambeht* ("servant"), and *dun* ("hill, down") might be Celtic loan-words, but scholars are still uncertain. Algeo (277) suggests about a dozen other Celtic words are probably genuine borrowings from the Celtic peoples during the Anglo-Saxon period, including these mostly archaic terms:
  - *bannuc* ("a bit")
  - *binn* ("basket, crib")
  - *bratt* ("cloak")
  - *brocc* ("badger")
  - *cine* ("gathering of parchment leaves")
  - *clugge* ("bell")
  - *dry* ("magician")
  - *gabolrind* ("geometric compass")
  - *luh* ("lake")
  - *mind* ("diadem")
- The Anglo-Saxons borrowed these words and used them for a few centuries, but these later fell out of common use. They simply didn't "stick" linguistically.

- In general, two types of Celtic loan words were likely targets of permanent Anglo-Saxon adaptation before the Norman Conquest:
- **(1) Toponyms** or place-names. For instance, *Cornwall*, *Carlisle*, *Avon*, *Devon*, *Dover*, *London*, and *Usk* are all originally Celtic names. Other places like *Lincoln* and *Lancaster* are semi-Celtic in origin; i.e., they have a *-coln* ending that originally comes from Latin *colonia* or a *-caster* ending that originally comes from Latin *castra* via Celtic *ceaster*, which were Latin loan words the Celts borrowed from the Romans, but which in turn the Anglo-Saxons adopted as loan-words from Celtic languages. Many Celtic toponyms are hidden in the first syllable of other modern names, such as the first syllable of *Lichfield*, *Worcester*, *Gloucester*, *Exeter*, *Winchester*, and *Salisbury*. Other general geographic features--*cumb* (a combe, a valley) and *torr* (projecting hill or rock, peak, as in modern Glastonbury Tor)--attach themselves to a large number of place-names.

- **(2)** Latin words the Celts borrowed from Rome, which were in turn borrowed by the Anglo-Saxon invaders--including words like *candle* (Latin *candelere*, "to shine") and *ass* (Latin *asinus*).
- Possibly the word *cross* and the verb *cursian* (which gives us and the Anglo-Saxons the ability "to curse") were originally Celtic words--though *cross* may have been borrowed from the Old Norse. Less used today, the word "**anchorite**" comes from Celtic *ancor* ("hermit").

Ironically, the largest number of Celtic borrowings occurred not during the Anglo-Saxon period, when the Angles and Saxons first lorded it over the conquered Celts, but they occurred centuries later during the Middle English period. Algeo notes these Johnny-come-lately Celtic terms include Scots Gaelic words--such as *clan* and *loch*. In the 17th century or thereafter, Scots Gaelic also offered words like *bog*, *cairn*, *plaid*, *slogan*, and *whiskey*. Welsh words like *crag* also appeared at about this time. In the 17th century, Irish Gaelic offered English words such as *banshee*, *blarney*, *colleen*, and *shillelagh*. More recently, words like *cromlech* and *eisteddfod* have entered English from Welsh as well (277), leading up to perhaps a couple hundred Celtic loan words if we generously count second- and third- hand borrowings of originally Celtic words imported from Romance languages like French, Italian, and Spanish sources later in the Renaissance.



# Celtic languages today

- Welsh
- Irish (Gaelic, 1% native speakers, unpopular in Ireland, however, official language of the EU)
- Scottish Gaelic (**NB! NOT the same as Scottish English**. Scottish English is a more conservative/archaic form of English: situated further away from the continent plus Scandinavian influence)
- Breton (in Bretagne in France)

# External influences in Old English: the Vikings (“Danes”, Northmen)

Raids first (793 Lindisfarne, **Northumbria**), settlement followed, particularly in northern parts.

King Alfred, 878, the **Danelaw** (Danelag).

The Viking settlers and the indigenous Anglo-Saxons were given equal rights, hence friendly relations, intermarriages.

Cf endings **–by** and **–ton** in placenames in northern parts of Britain.

Old English was a synthetic language (complex morphology):  
the period of **full endings**  
(e.g. “**stanas**” – stones)

# **Endings hindered communication**

**therefore started to be dropped, or  
more exactly, stressed less and less  
(Otto Jespersen)**

**NB! Old English still a language of  
full endings, but the trend towards  
levelled endings started in the period.**

# stan

Nominative plural

Old English (**full endings**):

stan**as**

Middle English (**levelled endings**):

stone**s**

Modern English (**lost endings**):

/stoun**z**/

Modern English - an analytical language (little morphology, fixed word order)

Old English fairly similar to  
present-day German and  
Scandinavian languages (and  
particularly present-day  
Icelandic!)

# Trend towards the loss of vowels

In Present-day English, /ə/ the most common vowel (20% of the text),

Cf the English and French words for, e.g.,  
“revolution”

Norman barons lived among  
Anglo-Saxons.

Words related to court, army,  
justice, fashion etc. borrowed.



# Periods of the history of English II: Middle English

- 1066 – the Battle of Hastings

During the next century approximately  
**200 000** Normans settled in Britain.

**(Norman) French was prestigious.**

**Ample borrowing.**

Teutonic = Germanic

Otto Jespersen:

**“The Norman invasion broke the proud  
Teutonic backbone of the English  
language”**

From now on, English open to loanwords (cf  
Renaissance below)

# However:

**Flower, forest, valley, river\*, face\*\*** – Norman French loans (Anglo-Saxon **bloom**, cf German Blum, **wood**, **dale** cf German Tal, **stream**).

Nouns denoting landscape features, family members, parts of body, numbers – the **core vocabulary** of a language.

**Thus, borrowing reached the core vocabulary of Anglo-Saxon.**

\*Anglo-Saxon íegstréam; ea; lagustream

\*\*Anglo-Saxon andlwlita, onsyn

<http://www.mun.ca/Ansaxdat/vocab/wordlist.html>

[http://home.comcast.net/~modean52/oeme\\_dictionaries.htm](http://home.comcast.net/~modean52/oeme_dictionaries.htm)

# The re-emergence of English

Starting with the 14th century.

A relatively unique phenomenon:

**conquerors do not leave but the language of the conquered returns.**

# Why the re-emergence?



# Scarcity value – a term in economics

In the case of English peasants – as the Black Death killed off so many of them, the nobility did not have enough people to till the land and generally work for them. Peasants had been serfs, tied to their land: whenever they tried to escape from a lord who treated them badly, they had nowhere to go (except towns) – another lord would have “extradited” them at once. Not so after the Black Death – all lords were happy to receive new working hands. **So because there were few of them and there was a great demand for them, the peasants were finally able to dictate their own terms. Serfdom ceased to exist. Free peasants – yeomen – acquired a sense of dignity. Accordingly, their language – English – also came to be valued.**

**One of the many sources:**  
**Linguistic perspectives on language and education**

Anita K. Barry, p. 89

[http://books.google.ee/books?id=kW2TVjT6PtwC&pg=PA89&lpg=PA89&dq=Black+Death+reemergence+of+English&source=bl&ots=T1E8LvsN5z&sig=4UH0woWENfl\\_nNS5QbGX9k7yoLc&hl=et&ei=SNW5Sob-Ksns-AaLodC\\_BQ&sa=X&oi=book\\_result&ct=result&resnum=1#v=onepage&q=Black%20Death%20reemergence%20of%20English&f=false](http://books.google.ee/books?id=kW2TVjT6PtwC&pg=PA89&lpg=PA89&dq=Black+Death+reemergence+of+English&source=bl&ots=T1E8LvsN5z&sig=4UH0woWENfl_nNS5QbGX9k7yoLc&hl=et&ei=SNW5Sob-Ksns-AaLodC_BQ&sa=X&oi=book_result&ct=result&resnum=1#v=onepage&q=Black%20Death%20reemergence%20of%20English&f=false)

# Other causes of the re-emergence of English

- **The Black Death (1349)** (“scarcity value”)
- **The Hundred Years’ War\*** - need for English identity (French fighting the French!)
  - need for money to finance the war (the merchants were English)
  - need for soldiers (the English archers!)
- **The genius of Chaucer (1340 – 1400)**
- **The Wars of the Roses (1455 – 1485)** – the remaining French-speaking noblemen killed one another off!

\*actually lasted for 116 years: from 1337 to 1453



# 1362

English becomes the language of Parliament and the courts of law.

However, this is a new kind of English (heavily influenced by (Norman) French, new vocabulary).

# Periods of the history of English III

## Early Modern English

- 1485 – end of the Wars of the Roses
- 1500 – turn of the century
- 1533 – Reformation (Henry VIII)





*Catherine  
of Aragon*



*Anne  
Boleyn*



*Jane  
Seymour*



*Anne  
of Cleves*



*Kathryn  
Howard*



*Katherine  
Parr*

# Reformation and Renaissance

Two **interrelated** but **distinct** events.

Unlike Protestantism on the continent, Reformation in Britain was introduced from above (Henry VIII needed a divorce, the Pope refused – rift with Rome, the establishment of the Anglican Church, king/queen head of church).

However, Britain also had the Puritans – closer to Reformation on the Continent.

# Reformation

- Individual responsibility before God, no need for the mediation of the (Roman Catholic) Church
- Need for religious literature, particularly the Bible, **in the vernacular – the language of the native speakers (as opposed to Latin as a lingua franca)**
- Need for general literacy

# King James' Bible (1611)



# Lord's prayer according to King James Version (Matthew)

Our Father which art in Heaven, Hallowed be thy name. Thy kingdom come. Thy will be done in earth, as it is in Heaven. Give us this day our daily bread. And forgive us our debts, as we forgive our debtors. And lead us not into temptation, but deliver us from evil: For thine is the kingdom, and the power, and the glory, for ever. Amen.



# **Renaissance (started in the 14th century, reached Britain in the 16th century)**

- Humanism, return to Ancient Greece and Ancient Rome
- **Pride in the individual human being**
- Arts, sciences, navigation (Francis Bacon, Shakespeare **1564-1616**, Walter Raleigh, Elisabeth I – patron of arts and sciences, the Golden Age)
- Need for **terminology and new (scientific, philosophical, etc) vocabulary in the vernacular**

Renaissance and Reformation in many ways contradictory movements (cf the Reformation iconoclasts; Renaissance flowered most in Catholic countries).

# Renaissance *versus* Reformation



**The two events – which in Britain happened to unfold contemporaneously – however, converged in bringing into use (in the areas of art, learning and religion) vernacular languages.**

# Whole-scale borrowing from Latin (as well as from Greek, Italian, Spanish)

- English borrowed, German resorted to its own resources (the proud Teutonic backbone of English had been broken!)
- Etymological triplets in English  
(go up – Anglo-Saxon, mount – French\*, ascend - Latin).

\*French, of course, was a “daughter”, i.e. successor of Latin, but the French verb comes from the Latin **noun** “mons” meaning “hill, mountain”, i.e. Latin did not have the corresponding verb.

# As a result of the abundant borrowing

- English has 500 000 words (Great Oxford Dictionary) (some estimates: 4 million words!)
- German has 180 000 words (Duden)
- French has 130 000 words (Grand Larousse)

# Renaissance: **copiousness**, not correctness valued

Shakespeare, for instance, borrowed  
unstoppably

Macbeth:

/This blood will/

**“multitudinous seas incarnadine,  
making the green one red”**

## **in·car·na·dine**

### **ADJECTIVE:**

Of a fleshy pink color.

Blood-red.

### **TRANSITIVE VERB:**

**in·car·na·dined , in·car·na·din·ing , in·car·na·dines**

To make incarnadine, especially to redden.

### **ETYMOLOGY:**

French incarnadin, from Italian incarnadino, variant of incarnatino, diminutive of incarnato : in-, *in* (from Latin; see **in-** 2) + carne, *flesh* (from Latin caro, carn-; see **incarnate**, cf also carnal)



**1992:** 'Basically I am a very good person.' This from the latest serial killer – destined for the chair, they say – who, with **incarnadine** axe, recently dispatched half a dozen registered nurses in Texas. — Donna Tartt, *The Secret History*

**1955:** 'The chaplain glanced at the bridge table that served as his desk and saw only the abominable orange-red, pear-shaped, plum tomato he had obtained that same morning from Colonel Cathcart, still lying on its side where he had forgotten it like an indestructible and **incarnadine** symbol of his own ineptitude.' - Joseph Heller, *Catch-22*

# Lack of standardisation

The word “book” spelt in 7 different ways.  
Shakespeare’s name spelt in many different ways.

**English has never been standardised to the extent of, e.g., French.**

# The Great Vowel Shift

The **Great Vowel Shift** was a major change in the pronunciation of the English language that took place in the south of England between 1450 and 1750. First studied by Otto Jespersen, who coined the term.

The phonetic values of the **long** vowels form the main difference between the pronunciation of Middle English and Modern English, and the Great Vowel Shift is one of the historical events marking the separation of Middle and Modern English. Originally, these vowels had "continental" values (like in Estonian!)

- Middle English **[a:]** (*ā*) (fronted to [æ:] and then raised to [ɛ:], [e:] and in many dialects diphthongised) in Modern English to **[eɪ]** (as in *make*).
- Middle English **[ɛ:]** (raised to [e:]) and then to Modern English **[i:]** (as in *beak*).
- Middle English **[e:]** raised to Modern English **[i:]** (as in *feet*).
- Middle English **[i:]** (diphthongised to [ɪi], which was most likely followed by [əɪ] and finally) Modern English **[aɪ]** (as in *mice*).
- Middle English **[ɔ:]** (raised to [o:], and in the eighteenth century this became) Modern English **[oʊ]** or **[əʊ]** (as in *boat*).
- Middle English **[o:]** raised to Modern English **[u:]** (as in *boot*).
- Middle English **[u:]** (was diphthongised in most environments to [ʊu], and this was followed by [əʊ], and then) Modern English **[aʊ]** (as in *mouse*) in the eighteenth century. (Before labial consonants, this shift did not occur, and [u:] remains as in *room* and *droop*)).
- Middle English **[aʊ]** turned into Modern English **[ɔ:]** as in *law*

# Causes of the Great Vowel Shift largely a mystery; possible causes (notice that all are related to social-historical events)

- the mass immigration to the South-East of England after the **Black Death**. The different dialects and the rise of a standardised middle class in London led to changes in pronunciation, which continued to spread out from that city.
- The sudden social mobility after the Black Death may have caused the shift, with people from lower levels in society moving to higher levels.
- The medieval aristocracy had spoken French, but by the early fifteenth century, they were using English. This may have caused a change to the "prestige accent" of English, either by making pronunciation more French in style, or by changing it in some other way, perhaps by *hypercorrection* to something thought to be "more English"
- The great political and social upheavals of the fifteenth century, which were largely contemporaneous with the Great Vowel Shift.

Because English spelling was slowly but steadily becoming standardised in the 15th and 16th centuries, the Great Vowel Shift is **responsible for many of the peculiarities of English spelling**, including the peculiarities of the pronunciation of the alphabet /ei/, /bi:/, /si:/, etc.

Spellings that made sense according to Middle English pronunciation were retained in Modern English because of the adoption and use of the printing press (invented by Johann Gutenberg in Germany around 1440, and introduced to England in the 1470s by William Caxton and later Richard Pynson).

